

Antony Waste Handling Cell Limited

CIN: L90001MH2001PLC130485



Ref.: AW/SEC/BSE/2022-23/11

Date: May 03, 2022

To,
Listing Department
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street, Fort
Mumbai - 400001

Dear Sir/Madam,

Sub. : Annual Secretarial Compliance Report for the financial year ended March 31, 2022

Ref. : Script Code – 543254

Pursuant to the Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and SEBI Circular CIR/CFD/CMD1/27/2019 dated February 08, 2019, please find attached copy of Annual Secretarial Compliance Report duly issued by Sunny Gogiya & Associates, Practising Company Secretary, for the Financial Year ended March 31, 2022.

This is for your information and records please.

Thanking you,

Yours faithfully,

For and on behalf of

ANTONY WASTE HANDLING CELL LIMITED

A handwritten signature in blue ink, appearing to read "Harshada Rane".

HARSHADA RANE

COMPANY SECRETARY & COMPLIANCE OFFICER

A34268



Enc. a/a

Sunny Gogiya & Associates
Practising Company Secretary

**Secretarial compliance report of Antony Waste Handling Cell Limited
for the year ended 31 March 2022**

To,
Antony Waste Handling Cell Limited

I Sunny Gogiya, Practising Company Secretary, have examined:

- a) all the documents and records made available to me, and explanation provided by **Antony Waste Handling Cell Limited** (“the listed entity”);
- b) the filings/ submissions made by the listed entity to the stock exchanges;
- c) website of the listed entity; and
- d) any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended 31st March 2022 (“Review Period”) in respect of compliance with the provisions of:
 - i. the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
 - ii. the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”).

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **Not Applicable**
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **Not Applicable**
- e) Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021; **Not Applicable**
- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **Not Applicable**
- g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; **Not Applicable**
- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- i) Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993;
- j) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018
- k) Securities and Exchange Board of India (Delisting of Equity Shares) Regulations, 2021; **Not Applicable**
- l) Securities and Exchange Board of India (Issue and Listing of Non-Convertible Securities) Regulations, 2021; **Not Applicable**

and circulars/ guidelines issued thereunder;

and based on the above examination, I hereby report that, during the Review Period:

- a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:

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ND GOGIYA

Digitally signed by
SUNNY LAKHMICHAND
GOGIYA
Date: 2022.05.02
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Address: SB-16, High Street Corporate Centre, Kapurbawdi, Thane West, 400607

Email id: cssunnygogiya@gmail.com, info@legalixir.com

Mob no.: 9922744338/9326644338

Sunny Gogiya & Associates
Practising Company Secretary

Sr. No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practising Company Secretary
1	Pursuant to Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with Schedule III, Part A, clause 4, the listed entity is required to disclose outcome of its board meeting within 30 minutes (statutory timeline) of the closure of the meeting held to approve the financial results.	The Board of Directors of the Company at its meeting held on 11 August 2021, approved the limited review report and un-audited financial statements for the first quarter ended 30 th June 2021. The outcome of Board Meeting and un-audited financial statements were submitted with BSE Limited within the statutory timeline. While the Company submitted the outcome of Board Meeting, with National Stock Exchange of India Limited (NSE) within the statutory timeline, it erroneously missed <i>attaching</i> the limited review report and un-audited financial statements on NSE within the statutory timelines i.e. 30 minutes conclusion of Board Meeting,	The Company rectified the error immediately and uploaded the limited review report and un-audited financial statements for the first quarter ended 30 th June 2021 with NSE within 40 minutes of conclusion of the Board Meeting.

- b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.
- c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practising Company Secretary, if any.
	NIL	-	-	-

- d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No	Observations of the Practising Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended... (The years are to be mentioned)	Actions taken by the listed entity, if any	Comments of the Practising Company Secretary on the actions taken by the listed entity
	NA	-	-	-

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ND GOGIYA

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SUNNY LAKHMICHAND
GOGIYA
Date: 2022.05.02
11:41:16 +05'30'

Address: SB-16, High Street Corporate Centre, Kapurbawdi, Thane West, 400607

Email id: cssunnygogiya@gmail.com, info@legalixir.com

Mob no.: 9922744338/9326644338

Sunny Gogiya & Associates
Practising Company Secretary

- e) The reporting of clause 6(A) and 6(B) of the circular No. CIR/CFD/CMD1/114/2019 dated 18th October 2019 issued by the Securities and Exchange Board of India on “Resignation of statutory auditors from listed entities and their material subsidiaries” is not applicable during the Review Period.

For Sunny Gogiya & Associates,
Practising Company Secretary
ICSI Unique Code S2019MH654000

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ND GOGIYA

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GOGIYA
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Sunny Gogiya
Membership No.: A56804
Certificate of Practice No.: 21563
UDIN: A056804D000252175
Peer Review Certificate No.: 1112/2021

Date: 02 May 2022
Place: Thane